



Office Address: WealthyIn Broking Private Limited, P N Plaza, 1090/B, Ground Floor, 18TH CRS, 3RD Sector, HSR Layout, Bangalore, Karnataka – 560 102| **Tel:** +080 48520671 | **Email:** wbkyc@wealthy.in | **Website:** www.wealthy.in

NOMINATION FORM

TM/DP ID:	BO ID:
Place:	DATE (DD/MM/YYYY):

I/We the sole holder/Joint holders/Guardian (in case of minor) hereby declare that:

☐ I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)

☐ I/We do not wish to nominate anyone for this demat account (Please fill the declaration form below this)

	Mandatory Details						Additional Details	
	Name of nominee	Share of nominee (%)	Relationship	Postal Address	Mobile number & E-mail	Identity Number	D.o.B. of nominee	Guardian
Nominee 1						PAN AADHAAR OTHERS No -		
Nominee 2						PAN AADHAAR OTHERS No -		
Nominee 3						PAN AADHAAR OTHERS No -		
Nominee 4						PAN AADHAAR OTHERS No -		
Nominee 5						PAN AADHAAR OTHERS No -		
Nominee 6						PAN AADHAAR OTHERS No -		
Nominee 7						PAN AADHAAR OTHERS No -		
Nominee 8						PAN AADHAAR OTHERS No -		
Nominee 9						PAN AADHAAR OTHERS No -		
Nominee 10						PAN AADHAAR OTHERS No -		

*Joint Accounts:

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

*if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects')

*Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1) I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate) Name of nominee(s) <input type="checkbox"/> Nomination: Yes / No <input type="checkbox"/>		
2) I hereby authorize _____ (nominee number) _____ to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to _____ % of assets in the account / folio or Rs. _____.		
3) This nomination shall supersede any prior nomination made by me / us, if any. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)		
Name(s) of holder(s)	Signature(s) of holder	Witness Signature*
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account / folio, if case of your physical incapacitation. This mandate can be changed any time you choose.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
 - 1) Either or Survivor' Folios / Accounts - any one of the holder can sign
 - 2) Jointly' Folios / Accounts - both holders have to sign

Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%