Annexure – B Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for month ending February 2023:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr.No** | **Received from** | **Carried forward from previous month** | **Received during the month** | **Total Pendi ng** | **Resolved** | **Pending at the end of the**  **month\*** |  | **Average Resolution time^ (in days)** |
|  |  |  |  |  |  | **Pending for less than 3 months** | **Pending for more than 3 months** |  |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI  (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other  Sources (if any | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | **Grand**  **Total** | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Trend of monthly disposal of complaints:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Sr.No.** | **Month** | **Carried forward from previous month** | **Received** | **Resolved\*** | **Pending\*\*** |
| 1 | Jan-23 | 0 | 0 | 0 | 0 |
| 2 | Feb-23 | 0 | 0 | 0 | 0 |

\*Includes complaint of previous months resolved in the current month.

\*\*Includes total complaints pending as on the last day of the month.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.